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# Allylindation of  $1H$ -indole-3-carboxaldehyde in the presence of azoles—revisited

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Abstract—The allylindation of  $1H$ -indole-3-carboxaldehyde in the presence of azoles (e.g., pyrazoles and imidazole) under aqueous Barbier-like conditions was reinvestigated and improved. Some of the results were at variance with a previous report (Tetrahedron Lett. 2003, 44, 2101).

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Since its introduction in the late eighties for Barbier-type  $reactions<sup>1</sup>$  $reactions<sup>1</sup>$  $reactions<sup>1</sup>$  indium has rapidly gained wide popularity. Several features of this metal recommend its application to organic synthesis: (i) stability to air and water at room temperature, (ii) high reactivity towards SET reactions, (iii) the low heterophilicity that makes indium organometallics tolerant to many functionalities and (iv) the absence, up to date, of toxic effects. Indium organometallics have been usually employed to form many different types of C–C bonds, for example, them in allylation and propargylation reactions, Pd-catalyzed couplings and Reformatsky-type reactions. Indiummediated allylation (hereafter named allylindation) of carbonyl compounds is copiously described in the literature: efficiency, mildness and selectivity are the key features of this method for the synthesis of homoallylic alcohols.[2](#page-2-0)

The synthetic potential of allylindation was recently shown to extend beyond this target. Concomitant with the outset of the present study, Kumar et al. $3$  reported that  $1H$ -indole-3-carboxaldehyde 1a (as well as its 1-benzyl derivative 1b) reacted with indium and allyl bromide in the presence of N-heterocycles (e.g., indoles, pyrazoles and imidazole) to give the corresponding 3-

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 $[1-(1H-hetar-4-yl)-but-3-enyl]-1H-indoles$  2a–f in isolated yields of just under 95% ([Scheme 1](#page-1-0)). Except for the adduct  $2b$  (from 1b and 1-methyl-1H-indole), substantial spectroscopic evidence for these structures and, in particular, verification of structures 2c–f was lacking. We verified the correctness of structures 2a–b for compounds formed in the above reactions when they were carried out in the presence of indole and its 1-methyl derivative, respectively.



However, the evidence presented by the authors led us to cast in doubt some of the proposed structures 2c–f for the adducts with azoles. As we also noted some discrepancies between our results and the previously published ones, we suspected that the products of ternary reactions between 1H-indole-3-carboxaldehyde, allyl bromide and azoles were the N-derivatives 3a–d, rather than the postulated  $2c-f$  ([Scheme 1\)](#page-1-0).

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<span id="page-1-0"></span>

#### Scheme 1.

Our interest in indole chemistry prompted us to reexamine this reaction.[4](#page-3-0) On attempting to repeat some of the cited reactions, we immediately noted some differences. Although the suggested solvent combination (i.e., THF–H<sub>2</sub>O, 1:1) was by far the most advantageous, in our hands conversions were generally slower, requiring higher temperatures and longer times (typically 6-10 vs 2–3 h). A 100% excess of allyl bromide (2 equiv) and indium (1.4 vs 0.7 equiv) was also needed for the reaction to go to completion (checked by TLC or GCMS). The time required for the disappearance of In was highly variable, ranging from  $2 \text{ days}$  to  $1.5 \text{ h}^5$  $1.5 \text{ h}^5$  $1.5 \text{ h}^5$ . Under our optimized conditions, the allylindation of 1a in the presence of pyrazole (1 equiv) gave in 5 h a 65% isolated yield of a 1:1:1 adduct [HR-EIMS:  $M^{+}$ ] at  $m/z$  237.1260 (calculated for  $C_{15}H_{15}N_3$  237.1265,  $\Delta = 0.5$  ppm)]. We also carried out this reaction under high-intensity ultrasound (HIU) (20 kHz, 40 W) that dramatically reduced the reaction time (1.5 h) without significantly affecting the yield (62%).

The chemical behaviour and a careful analysis of the adduct raised questions about the proposed structure 2c. By a combination of 1D and 2D NMR experiments [i.e., gCOSY,  ${}^{1}H-{}^{13}C$  (gHSQC, gHMBC) and  ${}^{1}H-{}^{15}N$ (gHSQC, gHMBC)] we were able to unambiguously assign all  ${}^{1}$ H,  ${}^{13}$ C and  ${}^{15}$ N resonances of the adduct in  $\overline{DMSO-d_6}$ . The <sup>1</sup>H and <sup>13</sup>C NMR (APT) spectra revealed a total of 14 protons attached to carbons (viz. 2CH2, 10CH), 3 additional quaternary carbons and the presence of one exchangeable proton at 11.05 ppm. In the 2D NMR, spectra the pyrazole moiety was revealed by signals at  $\delta_{\rm H}$  6.19 ( $\delta_{\rm C}$  104.49), 7.44 (137.73) and 7.70 (128.26). <sup>1</sup>H and <sup>15</sup>N HMBC correlations (optimized for  ${}^{n}J_{\text{NH}} = 3$  Hz) observed from both N-1<sup>n</sup> ( $\delta_{\rm N}$  131.0) and N-2"( $\delta_{\rm N}$  305.0) to H-3" ( $\delta_{\rm H}$ 7.70) indicated the connection between  $N-1$ <sup>"</sup> (of the pyrazole unit) and C-4 [of the (but-3-enyl)-1 $H$ -indole unit]. 1D and 2D NMR data for the rest of the molecule were consistent with structure 3a.<sup>[6](#page-3-0)</sup> Likewise, 1-benzyl-1H-indole-3-carboxaldehyde  $1b^7$  $1b^7$  reacting in the presence of pyrazole gave over a similar reaction time, the corresponding

derivative  $3d^6$  $3d^6$  (rather than 2b) in 72% yield. 3,5-Dimethylpyrazole took a little longer (7 h) to fully react, still delivering a satisfactory  $60\%$  $60\%$  yield of adduct  $3b^6$ (rather than 2d). Finally, we confirmed the structure of **3b** by X-ray crystallography (Fig. 1). $8$ 

Taken together, the foregoing observations leave little doubt that 1-(1H-indol-3-yl)but-3-en-1-ol 4 is involved in this reaction. Indoles of this type are thought to react with a nucleophile (e.g., pyrazoles) to give adducts 3a,b,d through the intermediacy of highly reactive 3 alkylideneindolenine 5 (viz. a vinylogous imine), $9$  in a three-component one-pot domino dehydrative allylindation–alkylation process.[10](#page-3-0) Because of its electrophilic character, the exocyclic alkylidene carbon of 5 could be considered as a likely candidate for intermolecular nucleophilic attack. Furthermore, the fact that pyrazoles are regioselectively alkylated at  $N(1'')$  rather than at  $C(3'')$  is consistent with the well-known chemistry of azoles. Accordingly, in free (NH)azoles, where (neutral) pyrrole-like and (basic/nucleophilic) pyridine-like nitrogen atoms occur in the same molecule, an electrophile will *always* react with the pyridine-like nitrogen.<sup>[11](#page-3-0)</sup> All attempts to obtain the corresponding three-component



Figure 1. ORTEP diagram for compound 3b.

<span id="page-2-0"></span>

#### Scheme 2.

adducts by allylindation of 1-EWG-substituted 1H-indole-3-carboxaldehydes in the presence of pyrazole were unsuccessful, which points to the involvement of the 3 alkylideneindolenine species in the reaction sequence. Interestingly, when allylindation was carried out under our optimized conditions in the presence of imidazole, not even trace amounts of a three-component adduct could be detected (TLC). A substantial amount of indium metal was present as a silvery nugget even after 24 h, while 1-allylimidazole and 1,3-diallylimidazolium bromide were the sole identifiable products. There are no substantial differences between our conditions and those previously reported;<sup>[3](#page-3-0)</sup> however, even when we ex-actly adopted the conditions of the cited work,<sup>[3](#page-3-0)</sup> we could not observe any Barbier-type reaction: 1a and indium remained mostly unchanged and 2e could not be detected. We do not dispute the findings of Kumar, although at this time we can offer no explanation for this discrepancy. It is reasonable to assume that when the reaction is carried out in the presence of imidazole, its alkylation and subsequent quaternization take precedence over the oxidative insertion to allylindium(I) intermediate<sup>[12](#page-3-0)</sup> because imidazole is a stronger nucleophile than pyrazole.[13](#page-4-0) For this multicomponent reaction to succeed, imidazole must not be included at the beginning of the reaction but added after  $1-(1H\text{-indol-3-yl})$ but-3-en-1-ol 4 has already formed. In the event,  $3c^6$  $3c^6$ would be formed through a one-pot three-component consecutive allylindation–alkylation reaction.[10](#page-3-0) Optimal conditions (giving 51% yield) were found to be the following: sequential treatment of 1a with allyl bromide and indium in THF–H<sub>2</sub>O (1:1) at 50 °C for 2 h (monitored by TLC), followed by addition of imidazole and heating for a further 3 h. Alternatively, 3c was obtained (69% yield) by reacting 4 (prepared by the method of Sheu et al.)<sup>[14](#page-4-0)</sup> and imidazole  $(1.1 \text{ equiv})$  through the agency of  $[InBr_3 (10 \text{ mol\%)}]^{\dot{5}}$  in chlorobenzene at 110 °C for 3 h. The homoallylic alcohol 4 was unstable when stored at room temperature for several days. Its lability so affected chromatography on silica gel that its purification was impossible (vide supra). Interestingly, under our conditions and in the absence of competing nucleophiles, attempts to prepare 4 were thwarted by its proclivity to undergo subsequent addition of allylindium(I) (via 5) leading to  $4(1H$ -indol-3yl)-hepta-1,4-diene  $6^{6,16}$  $6^{6,16}$  $6^{6,16}$  (42% yield) along with several by-products (Scheme 2).<sup>[17](#page-4-0)</sup>

Preliminary data in the present report indicate that Barbier allylindation on 1a, dehydration and nucleophilic addition can be combined in a convergent one-pot approach to the synthesis of a variety of indole derivatives. Investigations are underway involving other carbonyls, allylic and propargylic halides, N- and C-nucleophiles. In particular, when generated under our conditions, indolenine 5 was efficiently trapped by a range of carbon nucleophiles (e.g., electron-rich aromatics,  $\pi$ -excessive heterocycles and some stabilized enols) leading to a twofold C–C bond formation, and this will be reported in due time. This multicomponent procedure could be useful for the production of a wide library with intriguing complexity.

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## Supplementary data

Supplementary data associated with this article can be found, in the online version, at [doi:10.1016/j.tetlet.](http://dx.doi.org/10.1016/j.tetlet.2006.06.124) [2006.06.124.](http://dx.doi.org/10.1016/j.tetlet.2006.06.124)

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- 4. Some of the results described herein were presented at the 13th IUPAC International Symposium on Organometallic Chemistry directed towards Organic Synthesis (Geneva, Switzerland, July 17–21, 2005) (Poster #P510).
- 5. General procedure. Indium powder (100 mesh, 99.99%) (320 mg, 2.8 mmol) was added to a vigorously stirred suspension in H<sub>2</sub>O–THF (12 mL, 1:1,  $v/v$ ) of 1*H*-indole-3carboxaldehyde 1a (291 mg, 2.0 mmol), allyl bromide  $(345 \mu L, 4.0 \text{ mmol})$  and azole  $(2.0 \text{ mmol})$ . The mixture was stirred at 50  $\rm{^{\circ}C}$  (oil bath) and monitored by TLC. Upon completion of the reaction, water (25 mL) and EtOAc (20 mL) were added. The organic layer was collected and the aqueous layer was further extracted with EtOAc  $(2 \times 10 \text{ mL})$ . The combined organic extracts were dried and concentrated. Products 3a,b,d were purified by flash column chromatography (Silica gel). Two-step Preparation of compound 3c. Homoallylic alcohol (57 mg, 0.30 mmol) 4 (prepared in 90% yield from 1a and allylMgBr according to Sheu et al.<sup>[14](#page-4-0)</sup>) was dissolved in chlorobenzene (4 mL) in the presence of imidazole (45 mg, 0.67 mmol) and indium(III) bromide (11 mg, 0.03 mmol) and the mixture was heated at  $110^{\circ}$ C under nitrogen for 3 h. Evaporation of the solvent and purification by flash column chromatography  $(CH_2Cl_2–EtOAc$ 1:1) afforded compound 3c (49 mg, 69% yield) as a colourless solid.
- 6. Analytical data: Compound  $3a$ : Mp 102.8-103.5 °C  $(CH_2Cl_2$ -hexanes);  $R_f$  0.67  $(CH_2Cl_2$ -AcOEt, 3:2; violet spot/Ehrlich), <sup>1</sup>H NMR (400 MHz, DMSO- $d_6$ )  $\delta$  3.0–3.2 (2H, m, diastereotopic H-3), 4.96 (1H, d,  $J = 10.3$ , H-1<sub>cis</sub>), 5.09 (1H, dd;  $J = 17.2$ , 1.8; H-1<sub>trans</sub>), 5.69 (1H, ddt;  $J = 17.2, 10.3, 2.5; H-2$ , 5.75 (1H, dd,  $J = 8.3, 6.2; H-4$ ), 6.19 (1H, t,  $J = 2.0$ , H-4"), 6.94 (1H, t,  $J = 7.1$ , H-5), 7.07  $(1H, t, J = 7, 1, H-6), 7.36 (1H, d, J = 7.1, H-4), 7.41 (1H,$ d,  $J = 7.1$ , H-7'), 7.44 (1H, d,  $J = 2.0$ , H-5"), 7.45 (1H, s,  $H-2'$ ), 7.70 (1H, d,  $J = 2.0$ ,  $H-3''$ ), 11.05 (1H, s, NH). <sup>13</sup>C NMR (100 MHz, DMSO- $d_6$ )  $\delta$  36.7 (CH<sub>2</sub>), 57.9 (CH), 104.5 (CH), 111.4 (CH), 114.0 (C), 117.0 (CH<sub>2</sub>), 118.5 (CH), 118.6 (CH), 121.1 (CH), 123.3 (CH), 125.8 (C), 128.3 (CH), 134.8 (CH), 136.1 (C), 137.7 (CH). <sup>15</sup>N NMR (40.5 MHz, DMSO- $d_6$ ; referenced to liquid NH<sub>3</sub>)  $\delta$  131.0 (N-1'), 224.0 (N-1"), 305.1 (N-2"). Anal. Calcd for C15H15N3: C, 75.92; H, 6.37; N, 17.71. Found: C, 75.80;  $H, 6.54; N, 17.43. HR-EIMS: M<sup>+</sup> at  $m/z$  237.1260$ (calculated for  $C_{15}H_{15}N_3$  237.1265,  $\Delta = 0.5$  ppm). Compound 3b: Mp 161.8–162.5 °C (CH<sub>2</sub>Cl<sub>2</sub>–hexanes),  $R_f$  0.31  $\text{[CH}_2\text{Cl}_2\text{-}$ AcOEt, 6:4; violet spot/Ehrlich), <sup>1</sup>H NMR  $(CDCl_3)$   $\delta$  2.26 (3H, s, Me), 2.31 (3H, s, Me), 3.03 (1H, m, H-3a), 3.24 (1H, m, H-3b), 5.04 (1H, d,  $J = 10.1$ , H- $1_{\text{cis}}$ ), 5.14 (1H, d,  $J = 17.1$ ; H- $1_{\text{trans}}$ ), 5.55 (1H, dd,  $J = 9.5$ , 5.6; H-4), 7.08 (1H, s, H-2'), 7.08 (1H, t.,  $J = 7.6$ , H-5'), 7.17 (1H, t,  $J = 7.3$ , H-6'), 7.34 (1H, d,  $J = 8.1$ , H-7'), 7.40  $(H, t, J = 7.6, H-4), 8.54 (1H, br, NH).$  <sup>13</sup>C NMR (CDCl<sub>3</sub>)  $\delta$  11.9 (Me), 14.1 (Me), 39.8 (CH<sub>2</sub>), 105.2 (CH), 111.7 (CH), 116.3 (C), 117.8 (CH<sub>2</sub>), 119.0 (CH), 120.0 (CH), 122.4 (CH), 123.0 (CH), 126.5 (C), 135.4 (CH), 136.7 (C), 139.4 (C), 147.6 (C). Anal. Calcd for  $C_{17}H_{19}N_3$ : C, 76.95; H, 7.22; N, 15.84. Found: C, 76.74; H, 7.09; N, 15.66. MS (CI):  $m/z$  266 (M+H)<sup>+</sup>. Compound 3c: Mp 154.0–154.7 °C (CH<sub>2</sub>Cl<sub>2</sub>–hexanes),  $R_f$  0.15 (CH<sub>2</sub>Cl<sub>2</sub>– AcOEt, 1:1; pink spot/Ehrlich),<sup>1</sup>H NMR (CDCl<sub>3</sub>) $\delta$  2.99  $(1H, m, H-3a), 3.13$   $(1H, m, H-3b), 5.11$   $(1H, d, J = 10.3,$

H-1<sub>cis</sub>), 5.12 (1H, dd,  $J = 17.1$ , 1.5; H-1<sub>trans</sub>), 5.54 (1H, dd,  $J = 8.6, 6.2;$  H-4), 5.74 (1H, m, H-2), 6.99 (1H, s, H-5"), 7.07 (1H, s, H-4"), 7.08 (1H, t,  $J = 7.7$ , H-5'), 7.23 (1H, t,  $J = 7.7$ , H-6'), 7.31 (1H, d,  $J = 8.2$ , H-7'), 7.41 (1H, d,  $J = 8.1$ , H-1'), 7.65 (1H, s, H-2"), 8.57 (1H, br s, NH).<sup>13</sup>C NMR (CDCl<sub>3</sub>) δ 40.8 (CH<sub>2</sub>), 55.1 (CH), 111.9 (CH), 115.3 (C), 118.3 (CH), 119.0 (C), 119.1 (CH), 120.7 (C), 122.6 (CH), 123.2 (CH), 125.4 (CH), 126.2 (C), 129.4 (CH), 133.9 (CH), 135.6 (C). Anal. Calcd for  $C_{15}H_{15}N_3$ : C, 75.92; H, 6.37; N, 17.71. Found: C, 75.72; H, 6.58; N, 17.61. MS (CI):  $m/z$  238 (M+H)<sup>+</sup>. Compound 3d.  $R_f$  0.27  $(CH_2Cl_2$ ; reddish violet spot/Ehrlich), <sup>1</sup>H NMR  $\delta$  3.10  $(1H, m, H-3a), 3.20$   $(1H, m, H-3b), 5.06$   $(1H, d, J = 10.2,$ H-1<sub>cis</sub>), 5.14 (1H, d,  $J = 17.1$ , H-1<sub>trans</sub>), 5.33 (2H, s, NCH<sub>2</sub>), 5.79 (1H, t,  $J = 7.5$ ), 5.80 (1H, m, H-2), 6.22 (1H, s), 7.10 (1H, t,  $J = 7.5$ ), 7.15 (2H, d,  $J = 7.0$ ), 7.20 (1H, t,  $J = 7.2$ ), 7.26 (1H, s, H-2'), 7.29 (1H, d,  $J = 8.5$ ), 7.30– 7.35 (3H, m), 7.41 (1H, d,  $J = 2.1$ , H-5"), 7.45 (1H, d,  $J = 7.9, H-7\prime$ , 7.57 (1H, s).<sup>13</sup>C NMR  $\delta$  40.0 (CH<sub>2</sub>), 50.8  $(CH<sub>2</sub>), 59.3$  (CH), 105.5 (CH), 110.4 (CH), 114.6 (C), 118.1 (CH<sub>2</sub>), 119.7 (CH), 120.3 (CH), 127.1 (CH), 127.2 (C), 127.3 (CH), 128.1 (CH), 128.5 (CH), 129.2 (CH), 134.7 (CH), 137.2 (C), 137.6 (C), 139.2 (CH). Anal. Calcd for  $C_{22}H_{21}N_3$ : C, 80.70; H, 6.46; N, 12.83. Found: C, 80.33; H, 6.61; N, 12.95. MS (CI):  $m/z$  328 (M+H)<sup>+</sup>. Compound 6.  $R_f$  0.58 (CH<sub>2</sub>Cl<sub>2</sub>–hexanes, 7:3; pink spot/ Ehrlich),<sup>1</sup>H NMR  $\delta$  2.58 (4H, t, J = 7.0, H-3/H-5), 3.17 (1H, quint,  $J = 7.0$ , H-4), 5.03 (2H, d,  $J = 10.1$ ; H-1<sub>cis</sub>/ H-7<sub>cis</sub>), 5.08 (2H, d,  $J = 17.0$ ; H-1<sub>trans</sub>/H-7<sub>trans</sub>), 5.84 (2H, ddt;  $J = 17.0$ , 10.1, 7.0; H-2/H-6), 6.98 (1H, m d,  $J = 2.2$ , H-2'), 7.17 (1H, t,  $J = 7.2$ ), 7.25 (1H, t,  $J = 7.2$ ), 7.39 (1H, d,  $J = 7.2$ ), 7.71 (1H, d,  $J = 8.1$ ), 7.92 (1H, br s, NH). <sup>13</sup>C NMR δ 36.8 (CH), 39.7 (CH<sub>2</sub>), 111.5 (CH), 116.2 (CH<sub>2</sub>), 119.5 (CH), 119.8 (CH), 119.9 (C), 121.4 (CH), 122.3 (CH), 127.4 (C), 136.8 (C), 137.7 (CH). Anal. Calcd for  $C_{15}H_{17}N$ : C, 85.26; H, 8.11; N, 6.63. Found: C, 85.49; H, 8.12; N, 6.35. MS (CI):  $m/z$  212(M+H)<sup>+</sup>.

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- 8. Crystal data for C<sub>17</sub>H<sub>19</sub>N<sub>3</sub>:  $M = 265.35$ , monoclinic,  $P2_1$ / n,  $a = 8.913(2)$ ,  $b = 8.649(2)$ ,  $c = 18.808(3)$  Å,  $\beta =$ 90.48(2)°,  $V = 1449.8(5)$   $\AA^3$ ,  $Z = 4$ ,  $D_c = 1.216$  g cm<sup>-3</sup>,  $\mu(\text{Mo-K}\alpha) = 0.073 \text{ cm}^{-1}, \text{ } F(0.00) = 568; \text{ } T = 293(2) \text{ K};$ colourless needle,  $0.42 \times 0.12 \times 0.08$  mm, Bruker APEX diffractometer with CCD area detector. The structure was solved by direct methods, and refined anisotropically using full matrix least-squares based on  $F^2$  to give  $R_1 = 0.0421$ ,  $wR_2 = 0.1103$  for 3352 independent observed reflections  $[|F_0| > 4\sigma(|F_0|), 2\theta \leq 55^\circ]$  and 216 parameters (CCDC 298821).
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